

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q91836

Mikio AOKI

Appln. No.: 10/559,661

Group Art Unit: Unknown

Confirmation No.: Unknown

Examiner: Unknown

Filed: December 5, 2005

For: METHOD OF NUCLEIC ACID INFUSION

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

## MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

- 1. T. Takai et al., "DNA transfection of mouse lymphoid cells by the combination of DEAE-dextran-mediated DNA uptake and osmotic shock procedure", Biochimica et Biophysica Acta, Vol. 1048, No. 1, (1990), pp. 105-109 (previously submitted on December 5, 2005).
- T.V. Gopal et al., "Gene Transfer Method for Transient Gene Expression, Stable
   Transformation, and Cotransformation of Suspension Cell Cultures", Col. Cell Biol., (1985), Vol.
- 5, No. 5, pp. 1188-1190 (previously submitted on December 5, 2005).

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INFORMATION DISCLOSURE STATEMENT

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- 3. C.Y. Okada et al., "Introduction of Macromolecules into Cultured Mammalian Cells by Osmotic Lysis of Pinocytic Vesicles", Cell, Vol. 29, No. 1, 1982, pp. 33-41 (previously submitted on December 5, 2005).
- 4. J. Gruber et al., "RNA interference by osmotic lysis of pinosomes: liposome-independent transfection of siRNAs into mammalian cells", Biotechniques, Vol. 37, No. 1, July 2004, pp. 96-102 (previously submitted on December 5, 2005).
- 5. R.D. Park et al., "Hypertonic Sucrose Inhibition of Endocytic Transport Suggests Multiple Early Endocytic Compartments", J. Cell Physiol., Vol. 135, No. 3, 1988, pp. 443-450 (previously submitted on December 5, 2005).
- 6. P.L. Felgner et al., "Lipofection: A highly efficient, lipid-mediated DNA-transfection procedure", Proc. Natl. Acad. Sci. USA, Vol. 84, November 1987, pp. 7413-7417.
- 7. "Focus", (1999), Vol. 21, No. 3, pp. 61.
- 8. O. Boussif et al., "A versatile vector for gene and oligonucleotide transfer into cells in culture and in vivo: Polyethylenimine", Proc. Natl. Acad. Sci. USA, Vol. 92, August 1995, pp. 7297-7301.
- 9. Per E.G. Thoren et al., "The Antennapedia peptide penetratin translocates across lipid biyayers the first direct observation", FEBS Letters 482 (2000), pp. 265-268.
- 10. H. Nagahara et al., "Transduction of full-length TAT fusion proteins into mammalian cells: TAT-p27 Kipl induces cell migration", Nature Medicine, Vol. 4, No. 12, December 1998, pp. 1449-1452.
- 11. E. Neumann et al., "Gene transfer into mouse lyoma cells by electroporation in high electric fields", The EMBO Journal, Vol. 1, No.7, 1982, pp. 841-845.

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The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for

continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee

under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document

constitutes prior art against the claims of the present application. Applicant does not waive any right to

take any action that would be appropriate to antedate or otherwise remove any listed document as a

competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and

the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said

Deposit Account.

Respectfully submitted,

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WASHINGTON OFFICE

23373 CUSTOMER NUMBER

Date: March 6, 2006

Registration No. 32,607

EFS-Web Receipt date: 03/06/2006

10559661 - GAU: 1635

MODIFIED PTO/SB/08 A & B (06-03)

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Substitute for Form 1449 A & B/PTO		Complete if Known			
				Application Number	10/559,661
	INFORM	AATION DIS	CLOSURE HAN	Confirmation Number	Unknown
(use as many sheets as necessary) with				Filing Date	December 5, 2005
			" @ Jan 5	First Named Inventor	Mikio AOKI
	(use as	s many sheets as n	necessary)	Art Unit	Unknown
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U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date		
		Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US				
		US				

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date	Name of Patentee or	SD
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation*
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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>	
/J.P./	•	P.L. Felgner et al., "Lipofection: A highly efficient, lipid-mediated DNA-transfection		
		procedure", Proc. Natl. Acad. Sci. USA, Vol. 84, November 1987, pp. 7413-7417.		
000000000000000000000000000000000000000	~00000000000000000000000000000000000000	Focus", (1999), Vol. 21, No. 3, pp. 61.		
/J.P./		O. Boussif et al., "A versatile vector for gene and oligonucleotide transfer into cells in		
/3.5./		culture and in vivo: Polyethylenimine", Proc. Natl. Acad. Sci. USA, Vol. 92, August 1995, pp. 7297-7301.		
/J.P./		Per E.G. Thoren et al., "The Antennapedia peptide penetratin translocates across lipid biyayers - the first direct observation", FEBS Letters 482 (2000), pp. 265-268.		
/J.P./	<u> </u>	H. Nagahara et al., "Transduction of full-length TAT fusion proteins into mammalian cells: TAT-p27 Kipl induces cell migration", Nature Medicine, Vol. 4, No. 12, December 1998, pp. 1449-1452.		
/J.P./		E. Neumann et al., "Gene transfer into mouse lyoma cells by electroporation in high electric fields", The EMBO Journal, Vol. 1, No. 7, 1982, pp. 841-845.		

Examiner Signature	/Jennifer Pitrak/	Date Considered	03/06/2008
Emmart Signature		Dute Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). <sup>1</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST\_3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>1</sup>Kientaft the unique translation is attached.